

Research and Commercialization in Universities of Kazakhstan and the U.S.

Thematic Webinar

Agenda

- I. Opening Remarks updates, reminders
- II. Research and Commercialization in the U.S. Universities
 - Dr. Jason Jolley and Dr. Korie Sell, Ohio University

Research and Commercialization in Kazakhstan Universities

- Chet Jablonski and Daniya Yekibayeva, Nazarbayev University
- *Talgat Yelzhassov* and *Assel Zhexembayeva*, Eurasian National University
- III. Q&A
- **IV.** Office hour (optional)



KUUC 2024 Founding Members Meeting Week:

- September 30 Event at Satbayev University, Almaty
- October 1 Event at Narxoz University, Almaty
- October 2 University Meetings, departures to Astana
- October 3 Eurasian National University tour, Bolashak Center for International Programs (tbc); Astana
- October 4 Nazarbayev University tour, Astana IT University tour; Astana

Post-webinar surveys

- Monitoring feedback
- Importance of completing the surveys



Goals of the Webinar

- To increase understanding of Research and Commercialization in universities of Kazakhstan/the U.S.
- To increase understanding how concepts related to Research and Commercialization might be implemented at your university
- To gain some practical learning that can be implemented at your university in the future
- To increase understanding how to use the knowledge gained to develop discussions and build partnerships



Dr. Jason Jolley

Interim Associate Vice President for Research and Creative Activity

Dr. Korie Sell Technology Commercialization Manager



□ Innovation and Commercialization Cycle at Ohio University





Ohio University Research and Commercialization:

Primer for Kazakhstan-U.S. University Partnership

Program

G. Jason Jolley, Ph.D. Interim Assoc. VP for Research jolleyg1@ohio.edu

Korie Sell, Ph.D. Technology Commercialization Manager <u>sellk@ohio.edu</u>



August 12, 2024



Ohio University Research Overview



https://www.ohio.edu/ohio-facts

August 12, 2024



Innovation & Commercialization Cycle







Chet Jablonski Vice Provost for Research

(1)

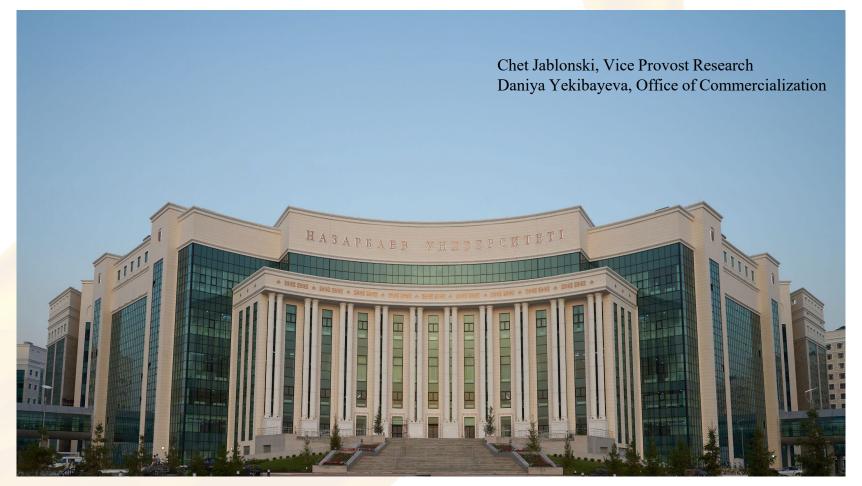
2

Daniya Yekibayeva Senior Manager, Office of Industry Engagement and Commercialization



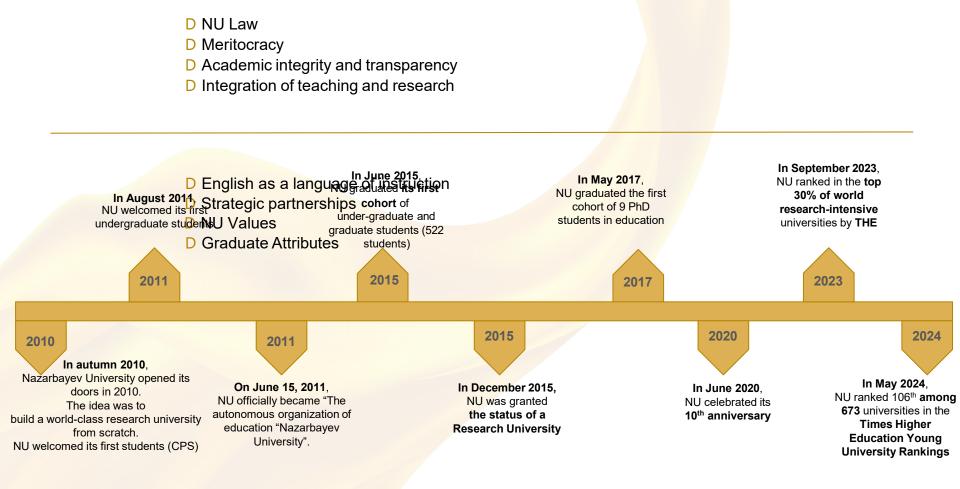
□ Research and Commercialization at Nazarbayev University

Nazarbayev University





Key Enabling Factors & Milestones





NU - Five Mandates





ACADEMIC EXCELLENCE



RESEARCH EXCELLENCE

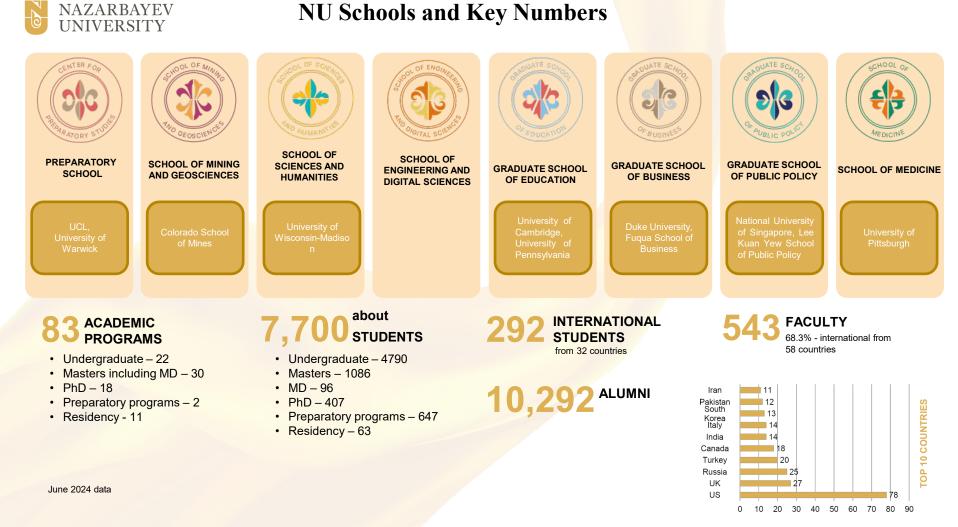


CREATING A MODEL FOR HEALTHCARE SERVICES



INNOVATION AND TRANSLATING RESEARCH INTO PRODUCTION

NU Schools and Key Numbers





World University Rankings



NU has been ranked in the top 30% of international research universities and 106th among young universities in the Times Higher Education (THE) World University Rankings 2024.

The WUR 2024: NU ranking among the CIS universities

| T op 14 CIS Institutions | 2024 Rank | Country |
|---|-----------|------------|
| 1. Lomonosov Moscow State University | 95 | Russia |
| 2. Moscow Institute of Physics and Technology (MIPT) | 201-250 | Russia |
| 3. Peter the Great St Petersburg Polytechnic University | 351-400 | Russia |
| 4. HSE University | 401-500 | Russia |
| 5. National Research Nuclear University MEPhI | 401-500 | Russia |
| 6. Bauman Moscow State Technical University | 401-500 | Russia |
| 7. Nazarbayev University | 501-600 | Kazakhstan |
| 8. Tomsk State University | 501-600 | Russia |
| 9. ITMO University | 601-800 | Russia |
| 10. National University of Science and Technology (MISiS) | 601-800 | Russia |
| 11. Novosibirsk State University | 601-800 | Russia |
| 12. RUDN University | 601-800 | Russia |
| 13. Saint-Petersburg Mining University | 601-800 | Russia |
| 14. South Ural State University | 601-800 | Russia |

NU rank among AUA Universities

| AUA Universities | W UR 2024 Rank | Country |
|--|----------------|--------------|
| Tsinghua University | 12 | China |
| Peking U niver sity | 14 | China |
| National University of Singapore | 19 | Singapore |
| The University of Tokyo | 29 | Japan |
| Seoul National University | 62 | South Korea |
| The Hong Kong University of Science and Technology | 64 | Hong Kong |
| University of Malaya | 251-300 | Malaysia |
| United Arab Emirates University | 301-350 | UAE |
| King Saud University | 401-500 | Saudi Arabia |
| Nazarbayev University* | 501-600 | Kazakhstan |
| Chulalongkorn University | 601-800 | Thailand |
| University of Indonesia | 801-1000 | Indonesia |
| University of Colombo | 1001-1200 | Sri Lanka |
| Indian Institute of Technology Bombay | n/r | India |
| University of Yangon | n <i>/</i> r | Myanmar |

NAZARBAYEV UNIVERSITY

External Evaluation & International Accreditation



Graduate School of Public Policy received accreditation from the European Association for Public Administration Accreditation (EAPAA) and the Network of Schools of Public Policy, Affairs, and Administration (NASPAA).



Graduate School of Business earned Prestigious AMBA & Business Graduates Association (BGA) Accreditation.



Center for Preparatory Studies received accreditation from the British Association of Lecturers in English for Academic Purposes (BALEAP).

european UNIVERSITY ASSOCIATION

NU has successfully passed an external institutional evaluation by the European University Association (EUA) Institutional Evaluation Programm (IEP).



School of Medicine received full international accreditation for its Doctor of Medicine (MD) program from the Eurasian Centre for Accreditation and Quality Assurance in Higher Education and Health Care (ECAQA), recognized by the World Federation for Medical Education (WFME).



NU is **participating** in the International Quality Review (IQR) by the Quality Assurance Agency for Higher Education (QAA, UK).

Student Mobility

SUMMER SCHOOLS (online)

- ✓ Renmin University of China NU Youth Leadership (2022)
- ✓ Shanghai Jiao Tong University (2021-2022)
- ✓ Summer School in Russian and Eurasian Studies (2021-2022)
- ✓ Tsinghua Global Eurasian Culture Immersion Series (2021)
- ✓ UFMG: Federal University of Minas Gerais (2021-2022)

2013-2023

1591

NU students have had study abroad experience since 2013

496 International students visited NU for cultural summer schools since 2015

115 NU students have attended cultural summer schools abroad since 2016 MOBILITY PARTNER INSTITUTIONS

Modules

The University of Cambridge The University of Pennsylvania Fuqua School of Business, Duke University Lee Kuan Yew School of Public Policy, National University of Singapore The University of Pittsburgh Colorado School of Mines Exchange/Erasmus+ Humboldt University of Berlin Renmin University of China KDI School of Public Policy and Management Lee Kuan Yew School of Public Policy, National University of Singapore Tsukuba University Dortmund University of Applied Sciences and Arts Lodz University of Technology Freie University of Berlin Eberhard Karls University of Tübingen Pavia University Tsinghua University University of Hong Kong University of Stavanger Ulsan National Institute of Science and Technology ADA University Koç University Sabancı University University of Toronto Friedrich Schiller University Jena Lorraine University Grenoble INP - UGA Universiti Malaya



NU International MoU Geography 2024

10 New Countries in 2023:

- · Azerbaijan
- Canada •
- France •
- Malaysia •
- Morocco •
- Netherlands •
- South Korea •
- Turkey
- UAE •
- Uzbekistan

2 New Countries in 2024:

- Switzerland
- Brazil



NAZARBAYEV UNIVERSITY **Research: NU on its Way to Become a World-Class Research University**

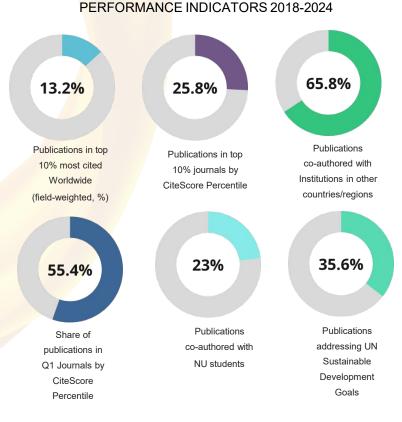
Since 2011:

- 8400+ publications
- 360+ research projects
- 270 world-class laboratories

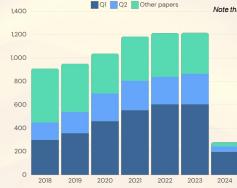
All other Kazakhstani papers 66.3%

Publications in Q1 over years

As of today, NU has published a remarkable total of **3,671** ^{NU} **papers in Q1 journals** (recognized as the top 25% of ^{33,7%} prestigious journals according to Scopus), accounting for nearly **one-third** of all Q1 research papers produced by all Kazakhstani institutions during the period 2011-2023 which is 10,896 publications. This highlights the significant role played by NU in advancing scholarly endeavors in Kazakhstan.



RESEARCH PUBLICATIONS



Note that data for 2023 and 2024 year is incomplete and is subject to change. NU publications in Q1-Q2 journal quartiles (top 50%)

In 2018, the proportion of research papers published in high-impact journals—categorized as Quartile 1 (Q1) and Quartile 2 (Q2)—stood at 49% (Q1 – 33%, and Q2 – 16%). By the end of 2023, the share of papers published in Q1 and Q2 journals increased to 72% (Q1 – 50%, and Q2 – 22%), demonstrating a substantial enhancement in the quality and impact of the research outputs.



Innovation cluster of Nazarbayev University: OIEC and NURIS

NU established the Innovation cluster where professors and students have an opportunity to commercialize their research findings and cooperate with business. In support of innovation and commercialization agenda NU has also developed an Innovation and Commercialization Cluster including a Office of Industry Engagement and NURIS PI a subsidiary organization with the goal is to organize startup support programs.

OIEC: Office of Industry Engagement and Commercialization

The Office helps projects with high commercial potential to reach the next stages of development, prototype creation, patenting, or pilot production, as well as management of intellectual property rights and entering the market.

In Kazakhstan, the Office has unique expertise in Intellectual Property (IP) management and provides relevant services such as patent search, trademark registration, and more.

Office of Industry Engagement and Commercialization

Since 2014, NU has funded **34 scientific projects with commercial potential**, and as a result of the projects, **30 patents** have been received and **6 license** agreements with companies have been concluded and **income** of companies from the use of **intellectual property** created on the basis of the university amounted to **85.3 million** tenge. During the period of operation of the Office, 203 patent applications were filed, 135 patents were received, including 21 international and 114 Kazakh patents.

Nazarbayev University has gained solid experience in building cooperation with industry. More than 15 projects (2015-2023) accomplished in different areas as power generation, oil and gas, robotics, geology, and other with total budget about 1.9 billion tenge with companies such ERG, ArcelorMittal Temirtau, Karachaganak Petroleum, Samruk-Energy, VIST Asia and KAMAZ, Petrofac LTD and other.

Commercialization projects: examples of licensing agreements

EGISTIC – project which developed of an information and analytical system for monitoring crop areas using remote sensing technologies and precision farming. Currently successful company orepating in the market. Website of the company - <u>https://egistic.kz/</u>. Started as a commercialization project at the Office, after joined incubation and acceleration programs of NURIS, raised several state and private grants.

NAR - a domestic food product that strengthens the immune system with unique strains of microorganisms isolated from national medicinal drinks (kumys, shubat, ayran), which have a complex of antigenotoxic, probiotic, and antioxidant properties in. In collaboration with local food company "Rodina" this product has been produced and presented at the local shops.

Saumal - sublimated mare's milk, dietary supplement made from dried mare's milk (saumal), vegetable and metabiotic ingredients. The product is produced and to be distributed through local pharmaceutical companies.

Commercialization projects: examples of contract research

Vist Asia/Kamaz – a project to create a robotic vehicle based on a KAMAZ chassis with its further demonstration at the test site and further adaptation for use in various industries. As a result of the project a machine vision module with the functionality of recognizing objects: humans, cars and road signs has been developed as well as a trajectory planning module for autonomous movement of a car to a given target position with a certain orientation with the functionality of avoiding obstacles.

ArcelorMittal Temirtau (currently QARMET) – a project for conducting analysis of waste (slag) of converter production dumps for the development of new materials and development of 4 application protocols to use stabilized BOF (basic oxygen furnace) slags (snow barrier, roadway abrasion, asphalt concrete, and railway ballast).

Petrofac – a project on investigation interaction of the buried pipeline with soil for the development of a new scientific method for conducting a full-scale testing program for the interaction between soil and buried pipelines. The next stage of the projects was a design of a test facility based at the University Technopark and the results of the full-scale testing were used to design a new underground pipeline network for transporting natural sulphur dioxide

Thank you for your attention!



Talgat Yelzhassov

Deputy Director of Innovation Development Department

Dr. Assel Jexembayeva Director of Innovation Development Department



□ The commercialization of the results of scientific and (or) scientific and technical activities of the L.N. Gumilyov Eurasian National University





NJC L.N. Gumilyov Eurasian National University



By the Decree of the Government of the Republic of Kazakhstan dated September 21, 2022, the L.N. Gumilyov Eurasian National University (hereinafter – ENU) was awarded the status of a research university and the University Development Program until 2026 was approved. One of the objectives of this transformation is to strengthen the interaction of science, production and business.

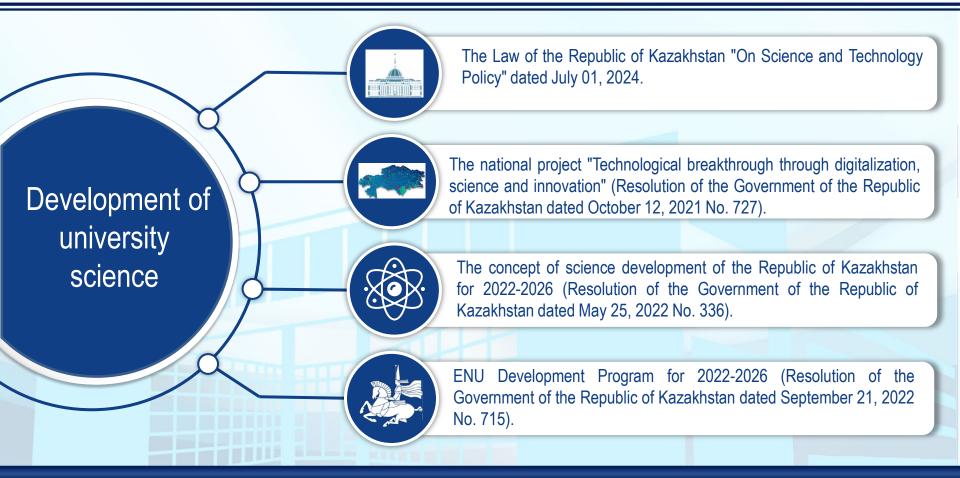














Regulatory framework for commercialization of RNNTD





The Company's RSSTA Commercialization Policy has been approved (Decision No. 03-23 of the Company's Board of Directors dated March 28, 2023).



The RSSTA Commercialization Regulation has been adopted, which defines the procedure for interaction on commercialization issues, including the relationship between ENU and business, through a survey of enterprises



DEPARTMENT OF

INNOVATION

DEVELOPMENT

DEPARTMENT OF INNOVATION DEVELOPMENT



It was created by order of the Chairman of the Board – Rector of the L.N. Gumilyov ENU in December 2022.

The main purpose of the Department is to conduct expertise and analysis of projects, identify the most promising projects, conduct market analysis, commercialize the results of scientific and (or) scientific and technical activities (hereinafter - RSSTA), promote projects, attract income from accredited laboratories, develop and promote startups.

Purposes of the Department

- 1. Assistance in commercialization the results of scientific and (or) scientific and technical activities scientists and students of the University.
- 2. Interaction with business entities for the purpose of commercialization and promotion the results of scientific and (or) scientific and technical activities of the university.

3. Commercialization project management at the stage of technology introduction into production.





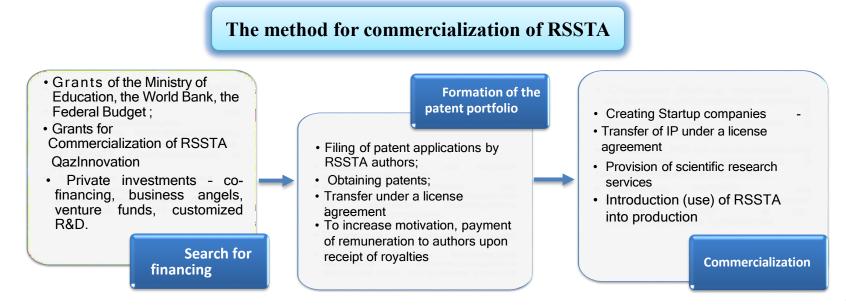
The innovative process of creating and commercializing RSSTA at ENU includes 8 stages:

- A scientific discovery within the framework of fundamental science or an invention;;
- Active development of certain aspects of this discovery (invention) within the framework of applied science with a view to its possible subsequent commercialization;
- Registration of intellectual property rights, writing a business plan and forming a team of innovators;
- Creating an experimental model of a new product;
- Search for partners, investors or technology buyers;
- Refinement and creation of an industrial prototype;
- Preparation of an agreement on the terms of the transaction in accordance with the University's policy;
- Bringing technology to the market, generating revenue.



According to Article 42 of the Law of the Republic of Kazakhstan «On Science and Technology Policy», the mechanisms of commercialization of RSSTA are: conclusion of a license agreement and (or) an agreement for the assignment of exclusive rights to the RSSTA;

- 1) creating startup companies;
- 2) implementation (use) of the results of scientific and (or) scientific and technical activities in own production;
- 3) other methods provided for by the legislation of the Republic of Kazakhstan.



GRANT PROJECTS

commercialization of the RSSTA of the Science Committee, the World Bank and JSC "Science Foundation"



The World Bank grant is "Creation of an advanced technological Research Center "Innovative construction technologies" in the amount of 247 million tenge.

4 grant projects totaling 4.7 billion tenge

Grant of JSC "Science Foundation" - "Creation of production of nanocomposite material for thermoelectric modules of sodium-ion batteries" in the amount of 200 million tenge.

The grant of JSC "Science Foundation" is "Modification of polymer cable insulation in a protective environment at the electron accelerator ELV-4" (PYAT) in the amount of KZT 318.6 million.

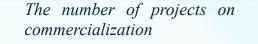
The grant of the Committee of Science is "Creation of a construction and technical engineering center for the provision of a full cycle of accredited services to the construction, road construction sector." The project amount is 4.0 billion tenge.

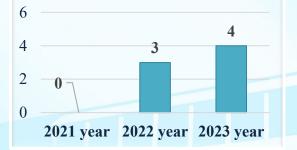


GRANT PROJECTS

On the commercialization of RSSTA







Currently, ENU is implementing 4 projects on the commercialization of RSSTA for a total amount of 4.8 billion tenge. Compared to 2021, the growth was 4 times.

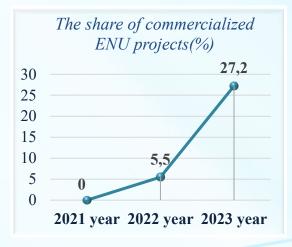
The share of income received from scientific activities, innovative developments and commercialized projects in 2023 amounted to 320.8 million tenge (725,882 thousand US dollars)



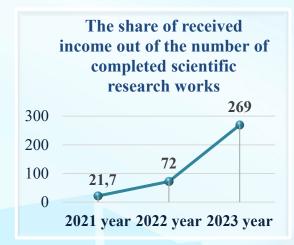


The share of commercialized projects and the amount of revenue received from the total number of completed research projects





In 2023, the share of commercialized projects from the total number of completed applied research increased by almost 5 times compared to 2022.



The growth in revenue in 2023 amounted to 269.5 million tenge (610,000 thousand US dollars), and in comparison with:

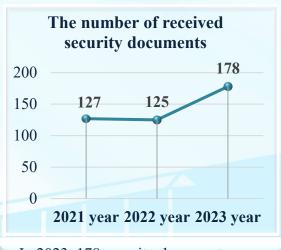
- 2022 increased by almost 4 times;
- 2021 increased by almost 12 times.



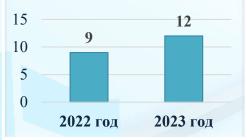


Number of patents 30 24 20 9 13 10 9 13 0 2021 year 2022 year 2023 year

In 2023, 24 patents were received (plan 17 units). The patent for a utility model is 15 units. The patent for the invention is 9 units.



In 2023, 178 security documents were received (plan 112 units). Patents – 24 units Copyright certificates – 154 The number of acts introduced into production (unit.)

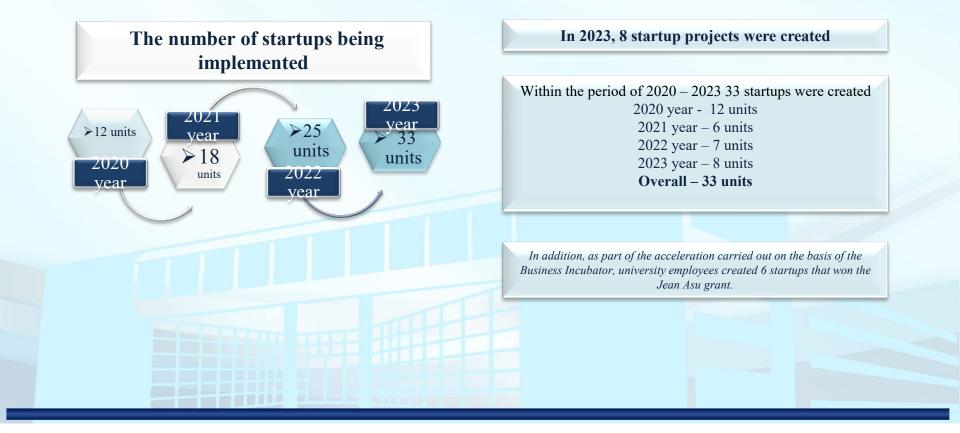


The number of acts introduced into production : 2023 year – 12 units, 2022 year – 9 units, 2021 year – 28 units, 2020 year – 5 units



The number of startups being implemented



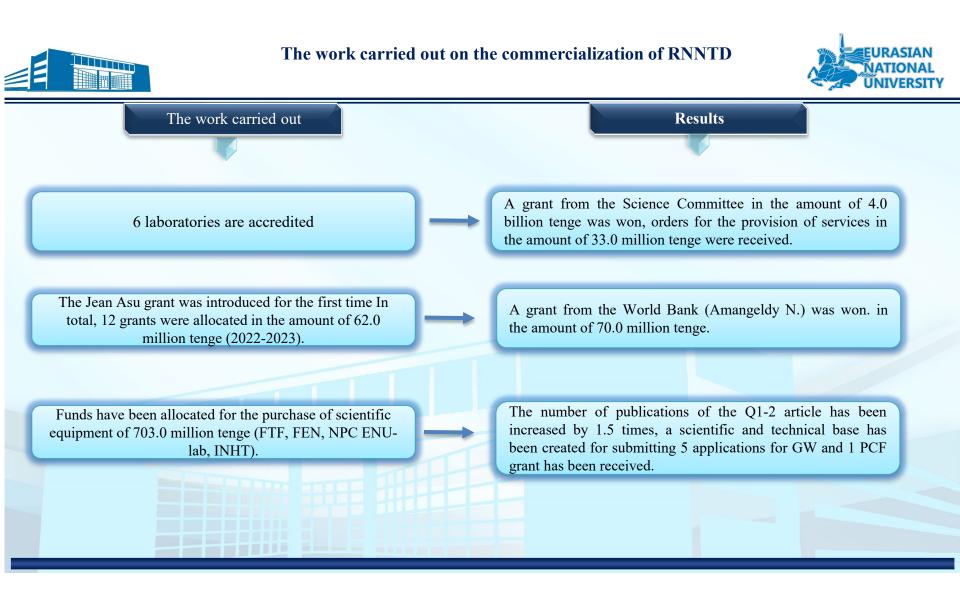




Mini-production for the production of innovative products









The work carried out on commercialization RNTD of the Company



The work carried out

Cooperation with 6 large organizations has been established.

A number of significant events and exhibitions were held (PechaKucha, "Science and Business"" (Al-Farabi Kazakh National University), an exhibition with the participation of Deputy PM and Minister of Internal Affairs of the Republic of Kazakhstan in the Shabyt Palace, a press tour, etc.).

A new mini-production facility for the production of radiationmodified cable has been opened on the basis of PYAT JSC. A mini-production has been opened in cooperation with Space Lab LLP for the assembly of 3D printers in the construction, space and information industries.

Results

As part of the events, a search was carried out for partners to co-finance the submitted RSSTA commercialization projects.

The first batch of products was shipped to the Republic of Uzbekistan, in the amount of \$ 28,000 thousand, and an agreement was signed with ProfLand RT LLP in the amount of 50.0 million tenge.

Construction of the ENU Technopark





The building of the technopark of road construction materials and technologies «ENU-Q $\bar{U}RYLYS$ »

Implementation of the "Creation of a construction and technical engineering center for the provision of a full cycle of accredited services to the construction, road construction sector of the Republic of Kazakhstan" in the amount of 4 billion tenge.

The total area is 2000 m2

The year of implementation is 2024.





The idea of the Engineering Center is a production and cooperation function, one of its main tasks of which is to stimulate innovation activity, where small and mediumsized businesses (residents) will be located a business incubator, a technology commercialization (transfer) center, an office with equipment for prototyping and providing other services (spin-offs, startups).



NJSC "L. N. Gumilyov Eurasian National University"

BR21882278 "ESTABLISHMENT OF THE ENU-QURYLYS CONSTRUCTION AND TECHNICAL ENGINEERING CENTRE TO PROVIDE A FULL CYCLE OF ACCREDITED SERVICES OF CONSTRUCTION, ROAD CONSTRUCTION SECTOR OF THE REPUBLIC OF KAZAKHSTAN"



Astana 2024

ENU ENGINEERING CENTRE - QURYLYS AREAS OF ACTIVITY:



CONSTRUCTION INDUSTRY DEVELOPMENT

Development and launch of new products, innovative of technologies in the business sector on request



ACCREDITED SERVICE PROVISION

On testing of road, building materials. Accredited 5 laboratories, more than 150 types of tests



ROAD DEVELOPMENT

Development and implementation of new knowledge-intensive Targeted materials and technologies





SUPERPAVE TECHNOLOGY (SUPERPAVE)

Idea: Development of Superpave asphalt pavements resistant to rutting, lowtemperature cracking, fatigue stretching, taking into account climatic conditions of a particular region.

Relevance: Relevance: Kazakhstan has more than 25 thousand km of republican motorways. destination. The constructed roads do not meet the warranty periods of operation.

Complaints about the poor quality of new highways, road repairs.







SUPERPAVE TECHNOLOGY (SUPERPAVE) - ENSURES IMPROVED PERFORMANCE OF ROAD SURFACES

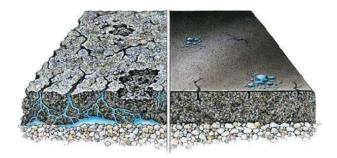
Features and advantages

- Thanks to the specially developed PG grade scale, an individual, precise laboratory selection of asphalt mixes is ensured
- Climatic conditions of motorway operation, temperature changes are taken into account
- Traffic flow, loads
- Solving the problems of rutting, resistance of asphalt concrete to fatigue and low-temperature cracking.
- Matching price, quality to market requirements according to the newly developed Superpave standards.





SUPERASPHALT



CO-OPERATION FORMAT:

- Technology realisation assignment of the right to sell production
- •Co-production
- •Unique distributor
- Consortium for full statewide technology adoption.



SUPER ASPHALT TECHNOLOGY. DEVELOPMENT OF MINERAL POWDER FOR ASPHALT CONCRETE ON THE BASIS OF BASALT INSULATION WASTES

Idea: Development of mineral powder for asphalt concrete **"ECO-ROAD PRODUCT"** on the basis of wastes from basalt mineral insulation production

Application: Road construction

Relevance: High consumption of imported mineral additives to improve the properties of asphalt concrete mixes, lack of new Kazakhstani road additives new Kazakhstani road additives affordably priced



Ordinary mineral powder Developed mineral powder



Improved adhesion and interaction with asphalt concrete mix





MINERAL POWDER "ECO ROAD PRODUCT" FOR ASPHALT CONCRETE BASED ON BASALT INSULATION WASTE

Advantages of technology:

- •Improved technical characteristics of asphalt concrete
- Eco-efficient product from basalt insulation waste
- Product patent
- •No analogues, Kazakhstan production

Collaboration Format:

- Technology realisation assignment of the right to sell production
- •Co-production
- Unique distributor







DEVELOPMENT OF POLYMER- MODIFIED TECHNOLOGY BINDER MATERIAL (POLYMER-BITUMEN)

The purpose of this study is to develop technologies for polymer bitumen production using domestic materials to improve the performance characteristics of bitumen



Polymer producers in the role of raw materials

Company Neftekhim LTD LLP (Pavlodar)KPI

LLP (Atyrau)

ADVANTAGES OF POLYMER BITUMEN:

- •Improved mechanical properties (strength, fatigue resistance)
- •Increased temperature resistance (stability at high temperatures, flexibility at low temperatures)
- Improved adhesion and bonding
- •Improved resistance to water and chemical attack











ECO-PANELS - AN INNOVATIVE SOLUTION FOR THE CONSTRUCTION INDUSTRY

Idea: Production of eco-panels for construction and furniture production

Application: Construction industry; cladding works; flooring, OSB insulation

Relevance: Continuous and significant price increases for chipboard. High demand in construction, furniture production. The need for import substitution of products.



Market demand

World market - 135 million m3 per year (14.4 billion m2) Kazakhstan market - 173 thousand m3 per year (17.8 million m2) Uzbekistan and Kyrgyzstan market - 195 thousand m3 per year (20.06 mln m2)





ECO-PANELS FOR CONSTRUCTION AND FURNITURE PRODUCTION

Eco-panels MDF/OSB 15 mm - 673 tenge per

m2 Import price in RK - 1500 tenge per m2

Collaboration Format:

- Increased durability
- Resistant to moisture, to burning
- •Affordable pricing

Cost of production

• Eco-efficient product.

- Alternative
- Imported chipboard
- Product patent
- •Wide sales market

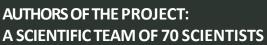


Utilisation of agricultural waste



Experimental plates





ENU-QURYLYS CONSTRUCTION-TECHNICAL CENTRE TO PROVIDE A FULL CYCLE OF ACCREDITED SERVICES TO THE CONSTRUCTION, ROAD BUILDING AND CONSTRUCTION SECTOR OF THE REPUBLIC OF KAZAKHSTAN"





PROJECT MANAGER:



 $\diamond \subseteq$

JEXEMBAYEVA ASSEL

PhD, Director of Innovation **Development Department**

+7-701-888-25-87











Q & A









Office Hour

